



TIP GUIDE : SAM EXCLUSIONS
(SYSTEM FOR AWARD MANAGEMENT)

Introduction

- Modio's OneView system is integrated with the SAM (System for Award Management) database. SAM provides information about providers who have been excluded from federal contracts due to misconduct or other issues.
- At this time, SAM is only available in V2. We are not yet running monthly updates for SAM, so you will want to set aside time to run SAM searches for your providers at scheduled intervals.

How to run a successful report:

- In order to run a successful SAM search, you will need to ensure that there is an SSN on the provider's profile. This ensures that we are only returning results that are guaranteed to be your provider. Unlike our other exclusion list updater (OIG), we do not have a match status for SAM; this is because SAM does not let us search by NPI or date of birth.
- If you need to run aliases on SAM, you can add them as "Other Names" or "Query Only" in the Additional Names section. Our updater can run up to 15 names, including the primary name on the account.

SAM report outcomes:

- If no results are found when you run the updater, you will see the provider's SAM Status update to Pass. This is the ideal status for a provider, since it means that no exclusions for them have been reported to SAM.
- If results are found, you will see the provider's SAM Status update to Fail. This indicates that at least one exclusion for the provider has been reported to SAM, and additional investigation is needed. It is possible for multiple agencies to have reported exclusions to SAM; if this is the case, the updater will return information for all of these exclusions.

The screenshot shows the Modio Health Carbon Demo dashboard. At the top, there is a navigation bar with 'Team', 'Forms', 'Tracking', 'Logins', 'Tasks', and 'Notes'. Below this, the user profile 'Noah Lam' is visible. The main content area is titled 'All Providers' and includes a search bar for 'Search a Provider Name or NPI...'. A 'Sections (1)' button is located on the right side of the dashboard. A modal window is open, displaying a list of sections with checkboxes. The 'SAM' checkbox under the 'ACTIONS & EXCLUSIONS' section is checked and highlighted with a red box. A red arrow points from this checkbox to the 'Sections (1)' button on the dashboard. The modal also shows sections for 'PROVIDER INFO', 'CERTIFICATIONS', 'EDUCATION & TRAINING', 'LICENSURE', 'WORK EXPERIENCE', 'MALPRACTICE INSURANCE', and 'DOCUMENTS'. The background shows a table of providers with columns for 'Provider Name', 'Title', and 'Primary', and a table of exclusion agencies with columns for 'Exclusion Agency', 'Exclusion Type', and 'Exclusion Program'.

Locating the SAM Updater

Start by clicking the Sections button on the right hand side of the dashboard.

Next, select the **SAM** checkbox in the V2 Sections menu. This will allow the SAM grid to display for your team.

Provider Name	Title	Primary Specialty	Aliases	Search Criteria	SAM Number	SAM Status	Last Updated	Actions
> Gelpi, Juan	MD	Colorectal Surgery	No	Name + SSN		Pass	11/10/2022	
> Marten, Lily	MD	Family Medicine	No	Name + SSN		Scheduled	No History	
> Adesso, Thomas	PTAAS	Case Management - ...	No	Name + SSN		Scheduled	No History	
> Goodson, Landon	PTAAS	No Specialty	No	Name + SSN		Scheduled	No History	
> Kanakeswaran, Kanagasabai	MD	Internal Medicine	No	SAM Number	S4MRBF417	Fail	11/09/2022	

Running the SAM Updater

Find your provider in the list and click on the updater icon

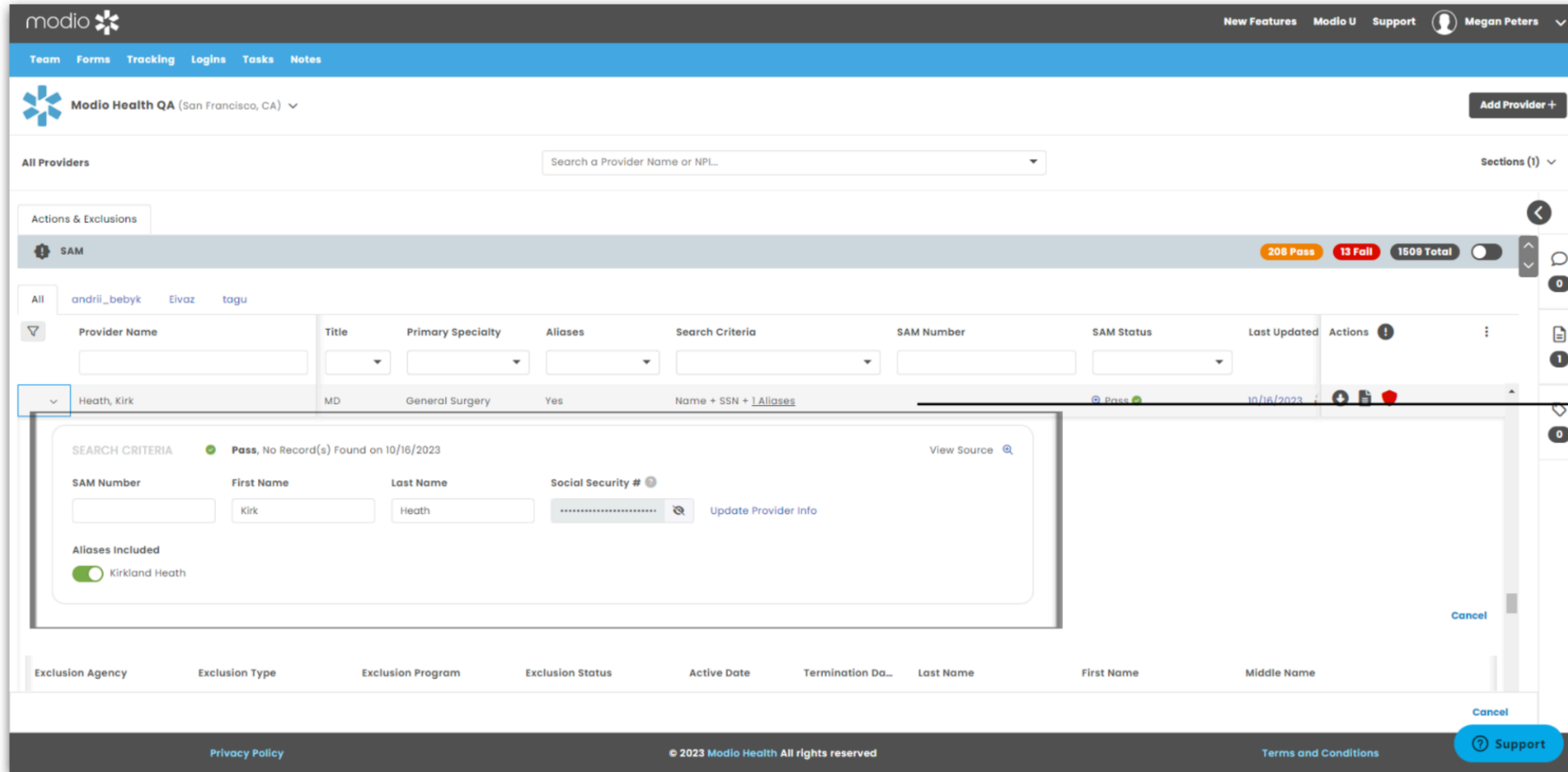
SAM will begin to run automatically.

The screenshot shows the Modio Health QA interface. At the top, there's a navigation bar with 'Team', 'Forms', 'Tracking', 'Logins', 'Tasks', and 'Notes'. Below that, the organization name 'Modio Health QA (San Francisco, CA)' is displayed. A search bar for providers is present. The main content area shows a table of providers. A callout box highlights the 'Aliases' and 'Search Criteria' columns. The table has columns for Provider Name, Title, Primary Specialty, Aliases, Search Criteria, SAM Number, SAM Status, Last Updated, and Actions.

Provider Name	Title	Primary Specialty	Aliases	Search Criteria	SAM Number	SAM Status	Last Updated	Actions
Jones, Tester	MD	Internal Medicine	Yes	Name + SSN + 1 Aliases		Pass	08/01/2022	
Dudley Burrell, Helen	FNP-C	Family Nurse Practitio...	Yes	Name + SSN + 1 Aliases		Scheduled	No History	
Pou, Nelson	MD	Obstetrics and Gynec...	Yes	Name + SSN + 7 Aliases		Scheduled	No History	
Aaron, Joshua	MD	Pulmonary Medicine	Yes	Name + SSN + 1 Aliases		Pass	08/25/2022	
Anderson, Brenda	PT	Physical Therapy	Yes	Name + SSN + 1 Aliases		Pass	11/10/2022	
Gilmore, Gail	OPA	Neonatal-Perinatal M...	Yes	Name + SSN + 1 Aliases		Pass	11/10/2022	

Returning Alias information

Understand whether your SAM search was run with aliases in these columns. You can also view how many aliases were used within the search criteria.



The screenshot shows the Modio Health QA interface for San Francisco, CA. The top navigation bar includes 'Team', 'Forms', 'Tracking', 'Logins', 'Tasks', and 'Notes'. The user is logged in as Megan Peters. The main section is titled 'All Providers' and features a search bar. A 'SAM' section shows a summary: 208 Pass, 13 Fail, 1509 Total. Below this is a table of providers. The provider 'Heath, Kirk' is selected, and a modal window displays the search criteria used for this search. The criteria include: SAM Number, First Name (Kirk), Last Name (Heath), and Social Security #. There is a 'View Source' link and an 'Update Provider Info' link. Below the modal, there is a table with columns for Exclusion Agency, Exclusion Type, Exclusion Program, Exclusion Status, Active Date, Termination Da..., Last Name, First Name, and Middle Name. The footer contains 'Privacy Policy', '© 2023 Modlo Health All rights reserved', 'Terms and Conditions', and a 'Support' button.


Modify Search Criteria

Open detail view to see the criteria used for this search. Change the search name or include a known SAM number to check for different results on the SAM website.

This section will include a list of aliases included in the search (added through Additional Names). Use the Update Provider Info link to modify the SSN on file for the provider.

The screenshot shows the Modio Health QA interface. At the top, there's a navigation bar with 'Team', 'Forms', 'Tracking', 'Logins', 'Tasks', and 'Notes'. Below that, the organization name 'Modio Health QA (San Francisco, CA)' is displayed. A search bar for providers is present. The main content area is titled 'All Providers' and shows a table of providers. The table has columns for Provider Name, Title, Primary Specialty, Aliases, Search Criteria, SAM Number, SAM Status, Last Updated, and Actions. The first row shows 'Gelpi, Juan' with a title of 'MD', specialty of 'Colorectal Surgery', and a status of 'Pass' with a green checkmark. Below the table, there's a section for 'Exclusion Agency' with columns for Exclusion Type, Exclusion Program, Exclusion Status, Active Date, and Termination Date. The footer contains 'Privacy Policy', '© 2022 Modio Health All rights reserved', 'Terms and Conditions', and a 'Chat' button.

Understanding SAM Search Results - Pass

If there are no results found for your provider, the SAM Status field will update to "Pass," and you will see a green checkmark  indicating a successful Carbon run with no exclusions found.

The screenshot shows the 'All Providers' section in the Modio Health QA interface. A table lists providers with columns for Provider Name, Title, Primary Specialty, Aliases, Search Criteria, SAM Number, SAM Status, and Last Updated. The provider 'Agbi, John' is highlighted in red, with a red checkmark icon in the SAM Status column. A red box highlights the 'Fail' status, and a red checkmark icon is also visible in the 'Last Updated' column for this provider.

Provider Name	Title	Primary Specialty	Aliases	Search Criteria	SAM Number	SAM Status	Last Updated
Smith, Alping	MD	No Specialty	No	Name + SSN		Scheduled	No History
Forgan, Claire	UNK	No Specialty	No	Name + SSN		Scheduled	No History
Agbi, John	MD	Hospitalist	No	SAM Number	S4MRG702W	Fail	09/01/2022
Pou, Nelson	MD	Obstetrics and Gynec...	Yes	Name + SSN + 7 Aliases		Scheduled	No History

Understanding SAM Search Results - Fail (Single Result)

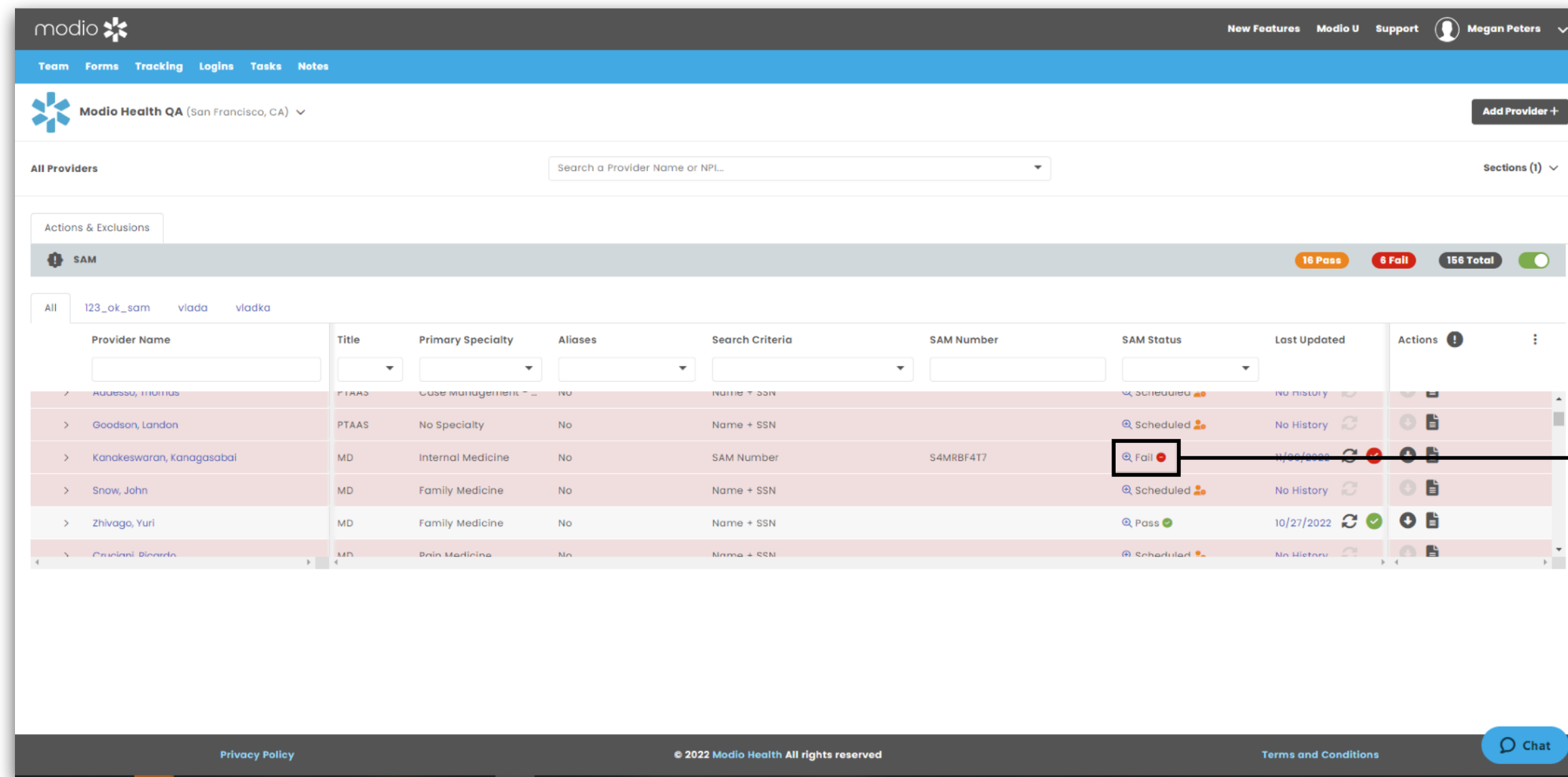
If one result is found for your provider, the SAM Status field will update to "Fail," and you will see a red checkmark indicating a successful Carbon run with at least one exclusion found.

The screenshot shows the detailed view of the exclusion for 'Agbi, John'. A table displays the following details:

Exclusion Agency	Exclusion Type	Exclusion Program	Exclusion Status	Active Date	Termination Date	Last Name	First Name	Middle Name
Office of Personnel Managem...	Prohibition/Restriction	Reciprocal	Active	02/28/2021	Indefinite	Agbi	John	Odion

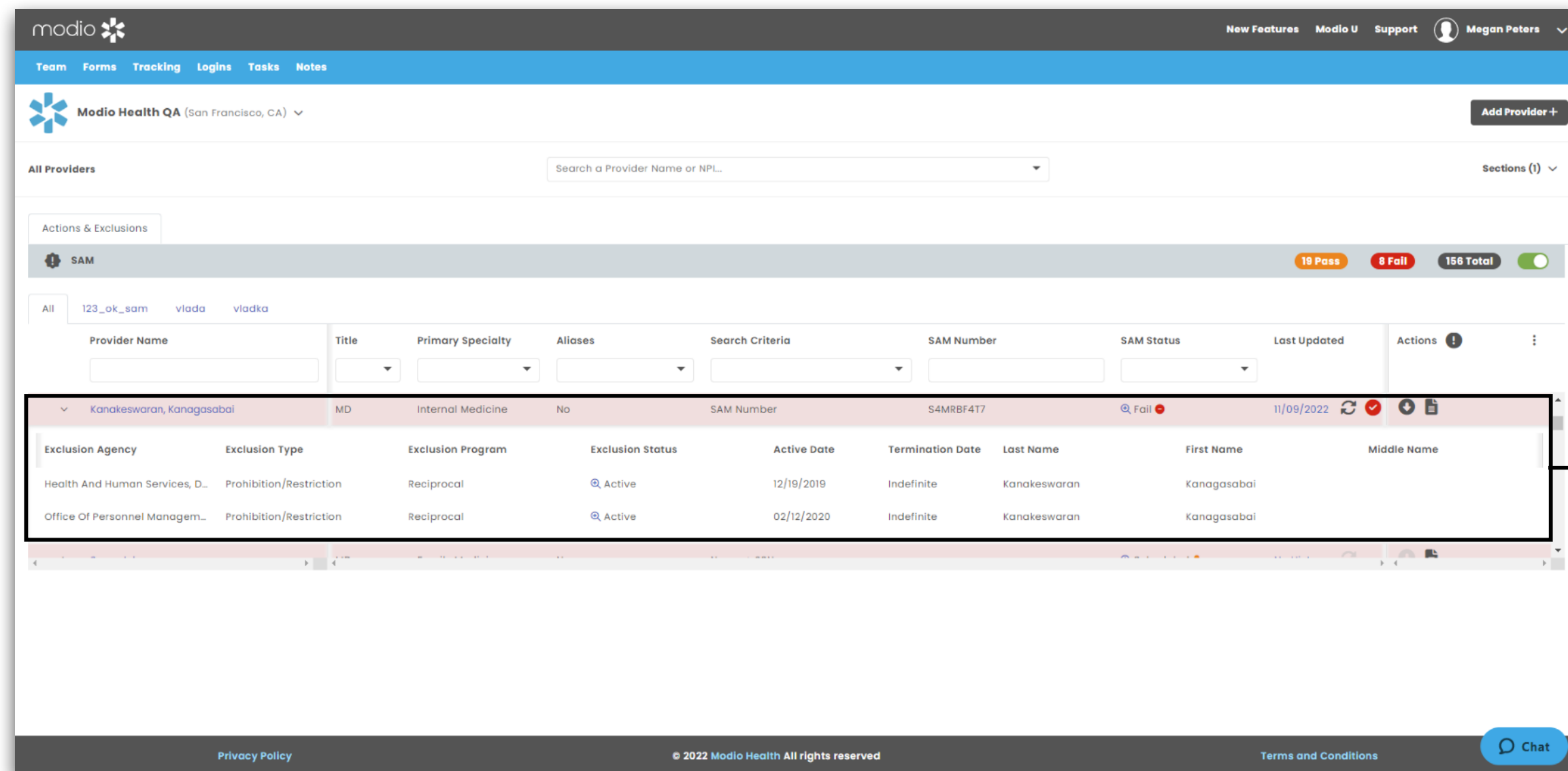
Understanding SAM Search Results - Fail (Single Result in detailed view)

To see details about the exclusion, click on the caret to the left of the Provider Name field. This will expand additional details, such as the Exclusion Agency, Exclusion Status, and Active Date.



Understanding SAM Search Results - Fail (Multiple Results)

If more than one result is found for your provider, the SAM Status field will update to "Fail," and you will see a red checkmark indicating a successful Carbon run with at least one exclusion found.



Understanding SAM Search Results - Fail (Multiple Results in detailed view)

To see details about the exclusions, click on the caret to the left of the Provider Name field. You will see a new line item in the expanded fields for each exclusion, separated by Agency Name. Note that details for each exclusion may be different, depending on what information was reported by each agency.


The screenshot shows the Modio Health QA interface for San Francisco, CA. The main content area displays a table of providers under the 'All Providers' section. A summary bar indicates 18 Pass, 6 Fail, and 156 Total. The table has columns for Provider Name, Title, Primary Specialty, Aliases, Search Criteria, SAM Number, SAM Status, Last Updated, and Actions. The 'Actions' column for the provider 'Kanakaswaran, Kanagasabai' is highlighted with a red box, and a black arrow points from this box to the text on the right.

Provider Name	Title	Primary Specialty	Aliases	Search Criteria	SAM Number	SAM Status	Last Updated	Actions
> Gelpi, Juan	MD	Colorectal Surgery	No	Name + SSN		Pass	11/10/2022	[Download] [Refresh] [Checkmark]
> Marten, Lily	MD	Family Medicine	No	Name + SSN		Scheduled	No History	[Download] [Refresh] [Person icon]
> Addresso, Thomas	PTAAS	Case Management - ...	No	Name + SSN		Scheduled	No History	[Download] [Refresh] [Person icon]
> Goodson, Landon	PTAAS	No Specialty	No	Name + SSN		Scheduled	No History	[Download] [Refresh] [Person icon]
> Kanakaswaran, Kanagasabai	MD	Internal Medicine	No	SAM Number	S4MRBF4T7	Fail	11/09/2022	[Download] [Refresh] [Fail icon]

Verification Documents - Part I

The updater will automatically upload a verification PDF containing the search results to your provider's Documents section.

You can view the PDF by clicking on Download button .

If you want to see previous documents, click on the Tags, Notes, Docs icon  and locate the version of the PDF that you need.

Edit SAM for John Agbi MD

Tag(s)
Input tag(s)...

Notes
Public 0 Team 0
(0 of 3000)

Documents 10
Drop documents here or [Click here to browse](#)
PDF, DOCX, TXT, RTF, GIF, JPG, PNG

Name	Document Type	Permission	Date	Exp. Date	Actions
SAM Agbi John 09-01-2...	SAM Verification	Public	09/01/2022		🔔 ✕ ⬇

Cancel Save

Verification Documents - Part II

The verification PDF will contain a screenshot of the main SAM search page, and if the provider has any exclusions found, it will also contain a detail page for each exclusion. For your safety, we have blinded all but the last 4 digits of the provider's SSN in the PDF.

The screenshot shows the 'All Providers' section in the Modio Health QA interface. At the top, there are navigation tabs for 'Team', 'Forms', 'Tracking', 'Logins', 'Tasks', and 'Notes'. Below that, the organization name 'Modio Health QA (San Francisco, CA)' is displayed. A search bar for 'Search a Provider Name or NPI...' is present. The main area shows a table of providers with columns: Provider Name, Title, Primary Speciality, Aliases, Search Criteria, SAM Number, SAM Status, Last Updated, and Actions. The 'Last Updated' column for the provider 'Kanakawaran, Kanagasabai' has a date '11/09/2022' highlighted with a red box. Above the table, there are filters for 'All Providers' and 'Sections (1)'. Below the table, there are statistics for '16 Pass', '8 Fail', and '156 Total'.

Audit History

To see data about previous SAM searches, click on the date value in the **Last Updated** field. This will open the Audit History modal.

The screenshot shows the 'Audit History' modal for 'SAM for Nelson Pou MD'. The modal is titled 'SAM for Nelson Pou MD' and includes a 'Record Details' section with the following information: Record ID: 454036, Provider Name: Nelson Pou, Provider ID: 305309, and SAM Number. Below this is a 'SAM History' table with two columns: 'Prior Record' and 'Current Record'. The table compares the following fields: Date Updated, Revision ID, Update Source, Updated By (Role), SAM Status, Exclusion Agency, Last Name, First Name, Middle Name, and Exclusion Type. The 'Date Updated' field is highlighted with a red box in the 'Prior Record' column.

Data about the current SAM search will display on the right hand side. You can toggle through the Date Updated menu to see a comparison of data returned from previous SAM searches. If a provider has more than one exclusion on file, you can also toggle through the Exclusion Agency menu to see the data for each exclusion.

FAQ

Q: What's the difference between SAM and OIG?

A: SAM is a database that contains information about federal-level exclusions. Providers who show up on the SAM exclusion list are restricted from receiving federal contracts.

Q: Why can't I use NPI or date of birth to complete a SAM search?

A: The SAM database does not allow us to enter NPI or date of birth as search parameters; only the provider name and SSN can be used. This is why we do not have a Match status like OIG. When you run a SAM search, you can trust that the results are for your provider only, because the search includes the SSN as a unique identifier.

Q: Is there a scheduled run for SAM searches like there is for OIG?

A: It's coming! We are working on adding a monthly scheduled SAM run and will notify our users when that's in place.

Q: Why does my provider have multiple exclusions on file?

A: SAM records data from multiple government agencies, and each agency reports its own unique exclusion data. This means your provider might have more than one SAM exclusion on file, each for a different agency. If one or more exclusions appear for your provider, this means they have failed the SAM search.

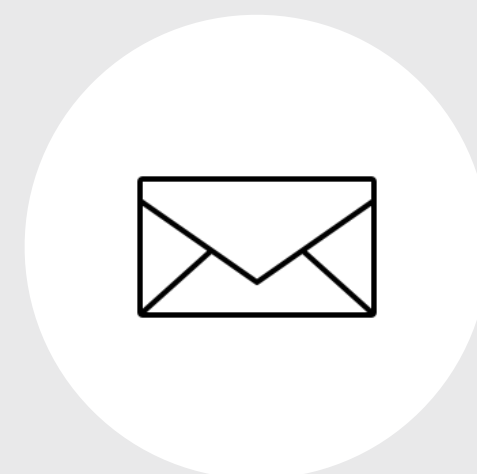
Q: Can I run the SAM updater or see SAM results in V1?

A: SAM is a V2 only updater. However, any documents that you produce as part of a SAM verification will be accessible in the Documents section of the provider profile in both V1 and V2.

For additional questions or further training, contact the Modio Team:



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